

A security analysis on MQ-Sign

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Introduction

MQ-Sign : an improved variant of the UOV signature scheme.

- Proposed by Kyung-Ah Shim, Jeongsu Kim, and Youngjoo An (NIMS¹).
- Submitted to the KpqC competition.

Multivariate cryptography : - Good candidates for post-quantum cryptography
- Based on the hardness of solving systems of multivariate polynomial equations

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UOV (Unbalanced Oil and Vinegar)

A brief history

- 1997 Oil & Vinegar signature (OV sign.)
- 1998 OV sign. is cryptanalyzed by KS attack.²
- 1999 Unbalanced Oil & Vinegar (UOV sign.)³
- 2005 Rainbow sign.⁴
- 2017 Rainbow sign. is submitted to NIST PQC standardization.
- 2020 Rainbow sign. is selected as a finalist for NIST PQC standardization
- 2022 Rainbow sign. is cryptanalyzed.⁵

² A. Kipnis and A. Shamir: Cryptanalysis of the oil & vinegar signature scheme, CRYPTO'98

³ A. Kipnis, J. Patarin, and L. Goubin: Unbalanced Oil and Vinegar signature schemes, EUROCRYPT'99

⁴ J. Ding, and D. Schmidt: Rainbow, a new multivariable polynomial signature scheme, ACNS'05

⁵ W. Beullens: Breaking Rainbow Takes a Weekend on a Laptop, CRYPTO'22

UOV (Unbalanced Oil and Vinegar)³

\mathbb{F}_q : a finite field of q .

$V = \{1, \dots, v\}$: vinegar variables

If (x_1, \dots, x_v) are randomly chosen, it is easy to find a solution for $(x_{v+1}, \dots, x_{v+o})$, since it is a linear system!

$O = \{v + 1, \dots, v + o\}$: oil variables

n : the number of variables in the public key, $n = o + v$.

A central map $\mathcal{F}: \mathbb{F}_q^n \rightarrow \mathbb{F}_q^o$ of UOV, $\mathcal{F} = (\mathcal{F}^{(1)}, \dots, \mathcal{F}^{(o)})$ is o multivariate quadratic equations with n variables x_1, \dots, x_n defined by

$$\mathcal{F}^{(k)}(\mathbf{x}) = \sum_{i,j \in V, i \leq j} \alpha_{ij}^{(k)} x_i x_j + \sum_{i \in O, j \in V} \beta_{ij}^{(k)} x_i x_j$$

$$\mathcal{F}^{(k)} = \mathcal{F}_{V,R}^{(k)} + \mathcal{F}_{OV,R}^{(k)}$$

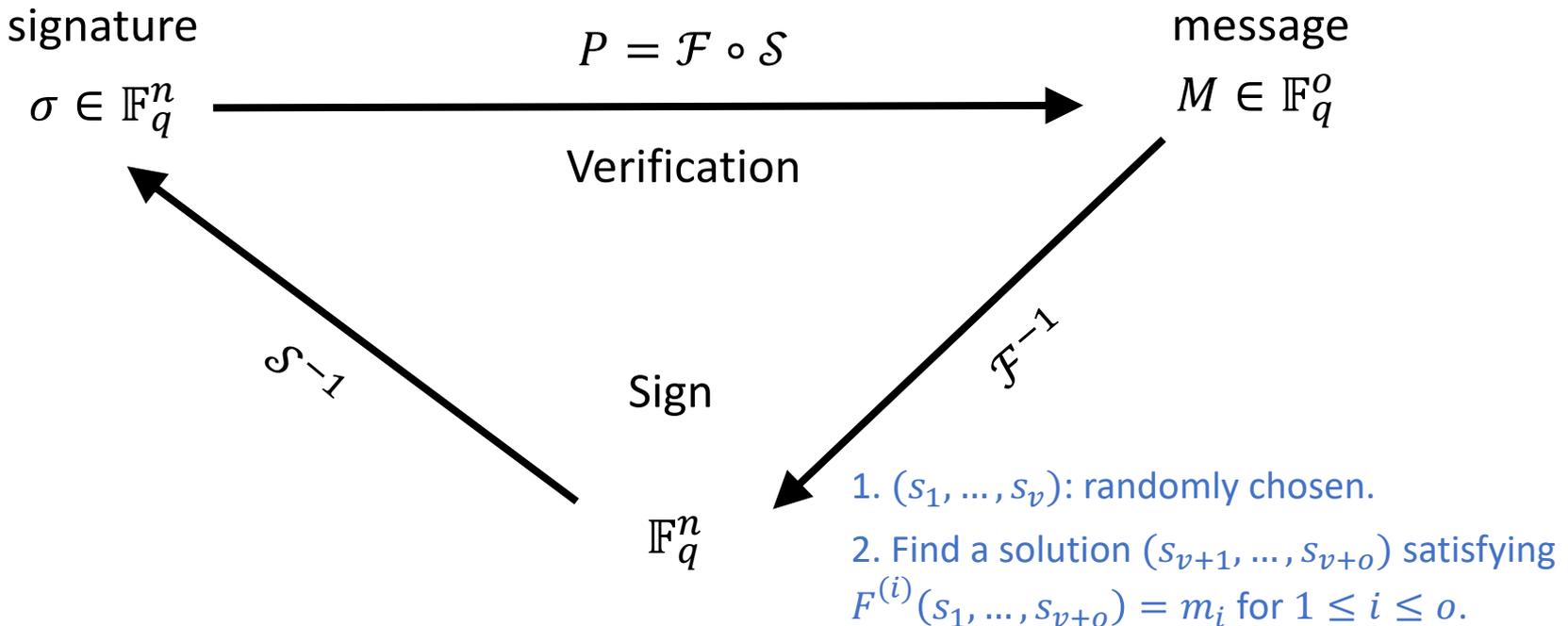
³ A. Kipnis, J. Patarin, and L. Goubin: Unbalanced Oil and Vinegar signature schemes, EUROCRYPT'99

UOV (Unbalanced Oil and Vinegar)

Signature scheme

Key generation

- Secret key : $(\mathcal{F}, \mathcal{S})$
 - Public key : $P = \mathcal{F} \circ \mathcal{S}$
- $\mathcal{S}: \mathbb{F}_q^n \rightarrow \mathbb{F}_q^n$, a random invertible affine map



Types of MQ-Sign

There are 4 types of central maps,

- $\mathcal{F}_{SS}^{(k)} = \mathcal{F}_{V,S}^{(k)} + \mathcal{F}_{OV,S}^{(k)}$ (MQ-Sign-SS)
- $\mathcal{F}_{RS}^{(k)} = \mathcal{F}_{V,R}^{(k)} + \mathcal{F}_{OV,S}^{(k)}$ (MQ-Sign-RS)
- $\mathcal{F}_{SR}^{(k)} = \mathcal{F}_{V,S}^{(k)} + \mathcal{F}_{OV,R}^{(k)}$ (MQ-Sign-SR)
- $\mathcal{F}_{RR}^{(k)} = \mathcal{F}_{V,R}^{(k)} + \mathcal{F}_{OV,R}^{(k)}$ (MQ-Sign-RR, same as UOV)

Our target

which derive the secret key [size reduction](#) by using sparse poly.

Here, $\mathcal{F}_{V,S}^{(k)} = \sum_{i=1}^v \alpha_i^{(k)} x_i x_{(i+k-1 \pmod v)+1}$ and $\xrightarrow{\dots\dots\dots} \frac{v \times v}{2} \cdot o \rightarrow v \times o$

$\mathcal{F}_{OV,S}^{(k)} = \sum_{i=1}^v \beta_i^{(k)} x_i x_{(i+k-2 \pmod o)+v+1}$ $\xrightarrow{\dots\dots\dots} (v \times o) \cdot o \rightarrow v \times o$

cf. $\mathcal{F}^{(k)}(\mathbb{X}) = \sum_{i,j \in V, i \leq j} \alpha_{ij}^{(k)} x_i x_j + \sum_{i \in O, j \in V} \beta_{ij}^{(k)} x_i x_j$

The central map of MQ-Sign-RS

Vinegar parts : Random polynomials

Oil-Vinegar parts : Sparse polynomials

$$\begin{aligned} f_1(\mathbb{X}) &= \sum_{i,j=1}^v \alpha_{i,j}^{(1)} x_i x_j + \sum_{i=1}^v \beta_i^{(1)} x_i x_{(i+1-2 \pmod{o})+v+1}, \\ &\vdots \\ f_k(\mathbb{X}) &= \sum_{i,j=1}^v \alpha_{i,j}^{(k)} x_i x_j + \sum_{i=1}^v \beta_i^{(k)} x_i x_{(i+k-2 \pmod{o})+v+1}, \\ &\vdots \\ f_o(\mathbb{X}) &= \sum_{i,j=1}^v \alpha_{i,j}^{(o)} x_i x_j + \sum_{i=1}^v \beta_i^{(o)} x_i x_{(i+o-2 \pmod{o})+v+1}. \end{aligned}$$

Quad. poly. and square matrix

For a homogeneous quadratic polynomial

$$g(\mathbf{x}) = \sum_{i \leq j \leq n} g_{ij} x_i x_j \in \mathbb{F}_q[\mathbf{x}]$$

define the upper triangular matrix G^{up} by

$$G^{\text{up}} := \begin{bmatrix} g_{11} & g_{12} & \cdots & g_{1n} \\ 0 & g_{22} & \cdots & g_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & g_{nn} \end{bmatrix} \in \mathbb{F}_q^{n \times n}$$

Then we have $g(\mathbf{x}) = \mathbf{x} \cdot G^{\text{up}} \cdot {}^t\mathbf{x}$.

Here, ${}^t\mathbf{x}$ is the transpose of \mathbf{x} .

Quad. poly. and square matrix

We define the *symmetric matrix* G by

$$G := G^{\text{up}} + {}^t G^{\text{up}}.$$

Let (F_1, \dots, F_o) and (P_1, \dots, P_o) be the corresponding symmetric matrices of the central map $\mathcal{F} = (f_1, \dots, f_o)$ and the public key $\mathcal{P} = (p_1, \dots, p_o)$.

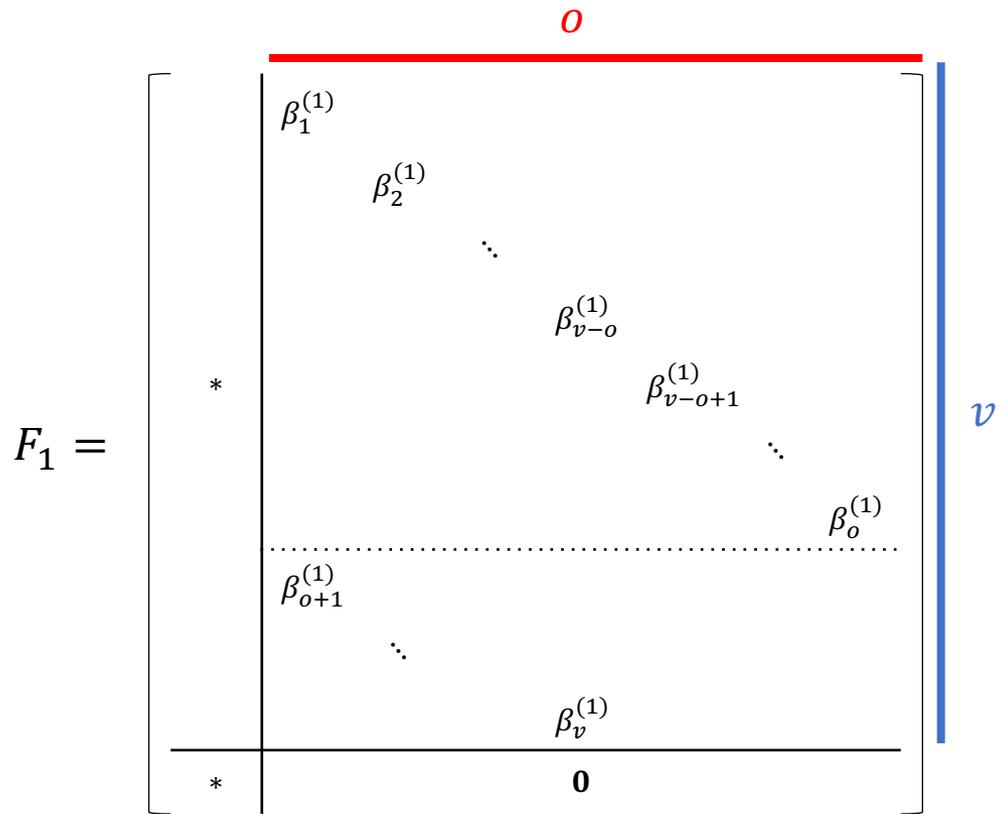
Then we have

$$(P_1, \dots, P_o) = (S \cdot F_1 \cdot {}^t S, \dots, S \cdot F_o \cdot {}^t S).$$

Our proposed attack

A central map F_1

Oil-Vinegar parts : Sparse polynomials



$$\mathcal{F}_{OV,S}^{(1)} = \sum_{i=1}^v \beta_i^{(1)} x_i x_{(i+1-2 \pmod{o})+v+1}$$

Our proposed attack

A central map F_3

$$F_3 = \begin{array}{c} \begin{array}{c} \color{red}{o} \\ \hline \end{array} \\ \left[\begin{array}{c|ccc} \color{red}{o} & & & \\ \hline 0 & 0 & \beta_1^{(3)} & \\ & & \ddots & \\ & & & \beta_{v-o}^{(3)} \\ & & & \beta_{v-o+1}^{(3)} \\ & & & \ddots \\ * & & & \beta_{o-2}^{(3)} \\ & \beta_{o-1}^{(3)} & & 0 \\ & \beta_o^{(3)} & & 0 \\ \hline & & \beta_{o+1}^{(3)} & \\ & & \ddots & \\ & & & \beta_v^{(3)} \\ \hline * & & & \mathbf{0} \end{array} \right] \color{blue}{v}$$

$$\mathcal{F}_{OV,S}^{(3)} = \sum_{i=1}^v \beta_i^{(3)} x_i x_{(i+3-2 \pmod{o})+v+1}$$

Aulbach et al.'s attack ⁶

Aulbach et al.'s attack can be applied to

- $\mathcal{F}_{SS}^{(k)} = \mathcal{F}_{V,S}^{(k)} + \mathcal{F}_{OV,S}^{(k)}$ (MQ-Sign-SS)
- $\mathcal{F}_{RS}^{(k)} = \mathcal{F}_{V,R}^{(k)} + \mathcal{F}_{OV,S}^{(k)}$ (MQ-Sign-RS)

Key recovery attack combining the sparsity of the central map with key \mathcal{S} having **a special form** with

However, in the original proposal, the key \mathcal{S} should be a general form!

$$\mathcal{S} = \begin{bmatrix} I_{v \times v} & \mathbf{0}_{v \times o} \\ * & I_{o \times o} \end{bmatrix}.$$

⁶ Aulbach, T., Samardjiska, S., and Trimoska, M. (2023). Practical key-recovery attack on MQ-Sign. <https://eprint.iacr.org/2023/432>

Aulbach et al.'s attack ⁶

$$\begin{bmatrix} P_i \end{bmatrix} = \begin{matrix} \begin{matrix} \overbrace{\hspace{2cm}}^v & \overbrace{\hspace{2cm}}^o \\ \begin{bmatrix} I_{v \times v} & \mathbf{0}_{v \times o} \\ S & S' \end{bmatrix} \\ \underbrace{\hspace{2cm}}_o \end{bmatrix} \cdot \begin{matrix} \begin{matrix} \overbrace{\hspace{2cm}}^v & \overbrace{\hspace{2cm}}^o \\ \begin{bmatrix} F_i & F_i' \\ \mathbf{0}_{o \times o} \end{bmatrix} \\ \underbrace{\hspace{2cm}}_o \end{matrix} \cdot \begin{matrix} \begin{matrix} \overbrace{\hspace{2cm}}^v & \overbrace{\hspace{2cm}}^o \\ \begin{bmatrix} I_{v \times v} & {}^t S' \\ {}^t S & S' \end{bmatrix} \\ \underbrace{\hspace{2cm}}_o \end{matrix} \end{matrix}$$

It derives a linear system having vo number of linearly independent equations. (Can be solved efficiently by Gaussian elimination!)

Key recovery attack can be done in a few second for the proposed parameter of security level 5.

⁶ Aulbach, T., Samardjiska, S., and Trimoska, M. (2023). Practical key-recovery attack on MQ-Sign. <https://eprint.iacr.org/2023/432>

Our proposed attack

Our attack can be applied to MQ-Sign-SS and MQ-Sign-RS.

We utilize the sparsity properties of the central maps.

Main aim.

Find o linear independent vectors $\mathfrak{t}_1, \dots, \mathfrak{t}_o \in \mathbb{F}_q^n$ such that

$${}^t\mathfrak{t}_i \cdot P_k \cdot \mathfrak{t}_j = 0, p_k(\mathfrak{t}_i) = 0 \quad (1 \leq i, j, k \leq o). \quad (A)$$

(It derives that any signature can be forged easily.)

Our proposed attack

Main idea

From $(P_1, \dots, P_o) = (S \cdot F_1 \cdot {}^t S, \dots, S \cdot F_o \cdot {}^t S)$,

$$P_i = S \cdot F_i \cdot {}^t S \quad (i = 1, \dots, o)$$

$$\Rightarrow P_i \cdot {}^t S^{-1} = S \cdot F_i$$

$$\begin{bmatrix} P_i \end{bmatrix} \cdot \begin{bmatrix} {}^t S^{-1} \end{bmatrix} = \begin{bmatrix} S \end{bmatrix} \cdot \begin{matrix} \overbrace{}^v \quad \overbrace{}^o \\ \cdot \begin{bmatrix} F_i \end{bmatrix} \\ \underbrace{}_o \end{matrix}$$

Our proposed attack

Sparse subparts of central maps F_i'

$$\begin{array}{c}
 \left[\begin{array}{c} P_i \end{array} \right] \cdot \left[\begin{array}{c} \overbrace{}^o \\ t S^{-1} \\ \underbrace{T'} \end{array} \right] = \left[\begin{array}{c} S \\ \end{array} \right] \cdot \left[\begin{array}{c} \overbrace{}^v \\ \\ \underbrace{}^o \\ F_i \\ \\ \underbrace{F_i'} \end{array} \right]
 \end{array}$$

$T' = (t_1 \dots t_o)$
 $S = (s_1 \dots s_{v+o})$

$$\left\{ \begin{array}{l} P_1 \cdot T' = S \cdot F'_1, \\ P_2 \cdot T' = S \cdot F'_2, \\ P_3 \cdot T' = S \cdot F'_3, \\ \vdots \\ P_o \cdot T' = S \cdot F'_o. \end{array} \right.$$

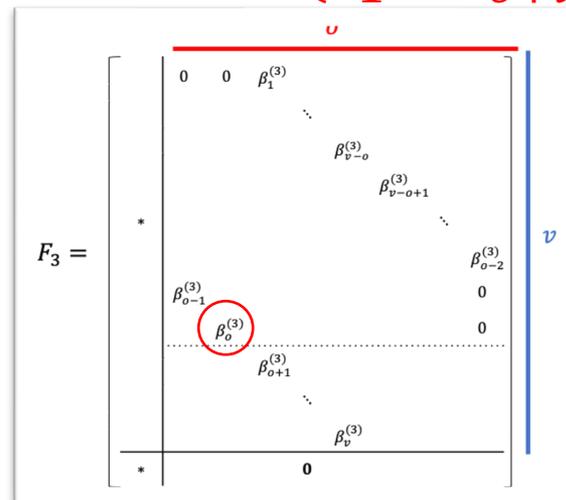
Our proposed attack

A generator s_o

$$\begin{bmatrix} P_i \end{bmatrix} \cdot \begin{bmatrix} t S^{-1} \end{bmatrix} = \begin{bmatrix} S \end{bmatrix} \cdot \begin{bmatrix} F_i \end{bmatrix}$$

$T' = (t_1 \dots t_o)$
 $S = (s_1 \dots s_{o+v})$

$$\begin{aligned}
 \Rightarrow P_1 \cdot t_o &= \beta_o^{(1)} \cdot s_o, \\
 P_2 \cdot t_1 &= \beta_o^{(2)} \cdot s_o, \\
 P_3 \cdot t_2 &= \beta_o^{(3)} \cdot s_o, \\
 &\vdots \\
 P_o \cdot t_{o-1} &= \beta_o^{(o)} \cdot s_o
 \end{aligned}$$



Our proposed attack

A generator s_o

$$\begin{bmatrix} P_i \end{bmatrix} \cdot \begin{bmatrix} t_S^{-1} \end{bmatrix} = \begin{bmatrix} S \end{bmatrix} \cdot \begin{bmatrix} F_i \end{bmatrix}$$

$T' = (t_1 \dots t_o)$
 $S = (s_1 \dots s_{o+v})$

$$\begin{aligned}
 \Rightarrow P_1 \cdot t_o &= \beta_o^{(1)} \cdot s_o, \\
 P_2 \cdot t_1 &= \beta_o^{(2)} \cdot s_o, \\
 P_3 \cdot t_2 &= \beta_o^{(3)} \cdot s_o, \\
 &\vdots \\
 P_o \cdot t_{o-1} &= \beta_o^{(o)} \cdot s_o
 \end{aligned}$$

\Rightarrow the matrix
 $(P_1 \cdot t_o \ P_2 \cdot t_1 \ \dots \ P_o \cdot t_{o-1})$
 with size $n \times o$ of **rank one**.

Our proposed attack

Solving for $(\mathfrak{t}_1, \mathfrak{t}_2)$

$$\left[\begin{array}{l} P_1 \cdot \mathfrak{t}_o = \beta_o^{(1)} \cdot s_o, \\ P_2 \cdot \mathfrak{t}_1 = \beta_o^{(2)} \cdot s_o, \\ P_3 \cdot \mathfrak{t}_2 = \beta_o^{(3)} \cdot s_o, \\ \vdots \\ P_o \cdot \mathfrak{t}_{o-1} = \beta_o^{(o)} \cdot s_o. \end{array} \right. \quad \left. \begin{array}{l} \beta_o^{(3)} \cdot P_2 \cdot \mathfrak{t}_1 = \beta_o^{(2)} \cdot P_3 \cdot \mathfrak{t}_2, \\ \beta_{o-1}^{(4)} \cdot P_3 \cdot \mathfrak{t}_1 = \beta_{o-1}^{(3)} \cdot P_4 \cdot \mathfrak{t}_2, \\ \beta_{o-2}^{(5)} \cdot P_4 \cdot \mathfrak{t}_1 = \beta_{o-2}^{(4)} \cdot P_5 \cdot \mathfrak{t}_2, \\ \vdots \\ \beta_3^{(o)} \cdot P_{o-1} \cdot \mathfrak{t}_1 = \beta_2^{(o-1)} \cdot P_o \cdot \mathfrak{t}_2. \end{array} \right. \quad (B)$$

In order to solve these quadratic polynomials for $(\mathfrak{t}_1, \mathfrak{t}_2)$ with unknown β , it is necessary to guess β 's properly.

Our proposed attack

Solving for $(\mathfrak{t}'_1, \mathfrak{t}'_2)$

If we re-set $\mathfrak{t}'_i := \beta_o^{(i+1),-1} \cdot \mathfrak{t}_i$, then $\mathfrak{t}'_1, \dots, \mathfrak{t}'_o$ also satisfy the properties (A) of 'Main aim'.

From (B),

$$\left\{ \begin{array}{l} P_2 \cdot \mathfrak{t}'_1 = P_3 \cdot \mathfrak{t}'_2, \\ P_3 \cdot \mathfrak{t}'_1 = \gamma^{(1)} \cdot P_4 \cdot \mathfrak{t}'_2, \\ P_4 \cdot \mathfrak{t}'_1 = \gamma^{(2)} \cdot P_5 \cdot \mathfrak{t}'_2, \\ \vdots \\ P_{o-1} \cdot \mathfrak{t}'_1 = \gamma^{(o-3)} \cdot P_o \cdot \mathfrak{t}'_2, \end{array} \right. \quad \begin{array}{l} \text{Guessing some } \gamma^{(i)} \text{ with brute} \\ \text{force, solve these relations for} \\ (\mathfrak{t}'_1, \mathfrak{t}'_2). \end{array}$$

where $\gamma^{(i)} := \beta_{o-i}^{(i+2)} \cdot \beta_{o-i}^{(i+3),-1} \cdot \beta_o^{(3)} \cdot \beta_o^{(2),-1}$ ($i = 1, \dots, o-3$).

In a similar way, we can deduce the equations for getting $(\mathfrak{t}'_3, \dots, \mathfrak{t}'_o)$.

Please refer to our paper for details.

Implementation result

(q, v, o)	Cputime (s)
$(2^8, 72, 46)$ security level 1	96
	99
	96
	95
	94
$(2^8, 112, 72)$ security level 3	527
	514
	505
	517
	502
$(2^8, 148, 96)$ security level 5	1613
	1644
	1602
	1077
	981

- Found the candidates of the pair (t'_1, t'_2) .

- Conducted on a system with Apple M1 (8 cores), 16GB memory, macOS Ventura 13.3 ver. Using Magma V2.27-8.

Conclusions

- Aulbach et al. proposed a practical key recovery attack against MQ-Sign-SS/RS by utilizing two properties:
 - (1) OV parts in central map are sparse.
 - (2) the secret key \mathcal{S} having the form of

$$\mathcal{S} = \begin{bmatrix} I_{v \times v} & \mathbf{0}_{v \times o} \\ * & I_{o \times o} \end{bmatrix}.$$

- We propose an attack against MQ-Sign-RS/SS without the property (2).
- The MQ-Sign-SR/RR are considered as secure among the four types of MQ-Sign.

Thank you for listening!

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